

P20961.P09

# **REQUES FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL**

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number

09/934,468

Filing Date

August 23, 2001

First Named Inventor

Tatsuya OKA et al.

Group Art Unit

2839

Examiner Name

H. HYEON

Attorney Docket Number

P20961

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

**NOTE:** 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. §1.114

### a. ☒ Previously submitted

i. ☒ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on June 25, 2003  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

### b. ☒ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Request for Extension of Time

v. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)

b. ☐ Other \_\_\_\_\_

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750.00 OP

## 3. Fees The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

a. ☒ Check in the amount of **\$860.00** enclosed

b. ☒ If payment in the appropriate amount is not enclosed, the U.S. Patent and Trademark Office is hereby authorized to charge any fees required by this paper, including the RCE fee required under 37 C.F.R. §1.17(e), any extension of time fees (37 C.F.R. §§ 1.136 and 1.17) necessary to render the RCE timely, and any suspension fee or credit any overpayments, to Deposit Account No. 19-0089

### **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                                       |                                   |         |
|-------------------|---------------------------------------|-----------------------------------|---------|
| Name (Print/Type) | Bruce H. Bernstein                    | Registration No. (Attorney/Agent) | 29,027  |
| Signature         | <i>Will. E. Lyall</i> Reg. No. 41,568 | Date                              | 7-22-03 |

### **CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Mail Stop RCE, PO Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

|                   |      |
|-------------------|------|
| Name (Print/Type) |      |
| Signature         | Date |

# **PATENT APPLICATION FEE DETERMINATION RECORD**

Effective October 1, 2001

Application or Docket Number

**RCE**

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

|   |              |              |
|---|--------------|--------------|
| TOTAL CLAIMS  |              |              |
| FOR   | NUMBER FILED | NUMBER EXTRA |
| TOTAL CHARGEABLE CLAIMS                                   | minus 20=    | *            |
| INDEPENDENT CLAIMS  | minus 3 =    | *            |
| MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/> |              |              |

\* If the difference in column 1 is less than zero, enter "0" in column 2

## **CLAIMS AS AMENDED - PART II**

(Column 1)

(Column 2)

(Column 3)

|                    |   |   |                                    |               |
|--------------------|---|---|------------------------------------|---------------|
| <b>AMENDMENT A</b> | CLAIMS REMAINING AFTER AMENDMENT  |   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|                    | Total   | * | Minus                              | **            |
|                    | Independent   | * | Minus                              | ***           |
|                    | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |   |                                    |               |

(Column 1)

(Column 2)

(Column 3)

|                    |   |   |                                    |               |
|--------------------|---|---|------------------------------------|---------------|
| <b>AMENDMENT B</b> | CLAIMS REMAINING AFTER AMENDMENT  |   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|                    | Total   | * | Minus                              | **            |
|                    | Independent   | * | Minus                              | ***           |
|                    | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |   |                                    |               |

(Column 1)

(Column 2)

(Column 3)

|                    |   |   |                                    |               |
|--------------------|---|---|------------------------------------|---------------|
| <b>AMENDMENT C</b> | CLAIMS REMAINING AFTER AMENDMENT  |   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|                    | Total   | * | Minus                              | **            |
|                    | Independent   | * | Minus                              | ***           |
|                    | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |   |                                    |               |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

**SMALL ENTITY TYPE** ☐

**OR OTHER THAN SMALL ENTITY**

|           |        |
|-----------|--------|
| RATE      | FEE    |
| BASIC FEE | 370.00 |
| X\$ 9=    |        |
| X42=      |        |
| +140=     |        |
| TOTAL     |        |

|           |        |
|-----------|--------|
| RATE      | FEE    |
| BASIC FEE | 740.00 |
| X\$18=    |        |
| X84=      |        |
| +280=     |        |
| TOTAL     |        |

**SMALL ENTITY**

**OR OTHER THAN SMALL ENTITY**

|        |                |
|--------|----------------|
| RATE   | ADDITIONAL FEE |
| X\$ 9= |                |
| X42=   |                |
| +140=  |                |
| TOTAL  |                |

|        |                |
|--------|----------------|
| RATE   | ADDITIONAL FEE |
| X\$18= |                |
| X84=   |                |
| +280=  |                |
| TOTAL  |                |

|        |                |
|--------|----------------|
| RATE   | ADDITIONAL FEE |
| X\$ 9= |                |
| X42=   |                |
| +140=  |                |
| TOTAL  |                |

|        |                |
|--------|----------------|
| RATE   | ADDITIONAL FEE |
| X\$18= |                |
| X84=   |                |
| +280=  |                |
| TOTAL  |                |

|        |                |
|--------|----------------|
| RATE   | ADDITIONAL FEE |
| X\$ 9= |                |
| X42=   |                |
| +140=  |                |
| TOTAL  |                |

|        |                |
|--------|----------------|
| RATE   | ADDITIONAL FEE |
| X\$18= |                |
| X84=   |                |
| +280=  |                |
| TOTAL  |                |